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ABSTRACT

Because it is a relatively new field and is categorized as an interdisciplinary one, urban studies both suffers and benefits in terms of grants and awards. Undergraduate scholarships do not exist, because urban studies is a graduate field. But, since urban studies involves all of the established social studies and a few natural science and technology areas, grants from these fields are available. Government and foundations are also interested in urban studies, which leads to general and specialized funding of projects. Fellowships, awards, and grants for urban studies and related fields are identified and described by source, qualifications, purpose, and financial data. Selected awards from annual publications such as "Awards, Honors, and Prizes," "Directory of Research Grants," "The International Foundation Directory," "Scholarships, Foundations, and Loans," "Annual Register of Grant Support," and "The Foundation Directory" are described. General and specialized newsletters which update these basic reference sources are listed. (JHP)

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FELLOWSHIPS, AWARDS AND GRANTS FOR URBAN STUDIES

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FELLOWSHIPS, AWARDS AND GRANTS FOR URBAN STUDIES

It is a "given" that one of the "hottest," most active areas in the Reference Department of any college or university library is that devoted to awards of all types, but especially fellowships and grants, for graduate students and faculty. True enough, undergraduates avidly seek scholarships, but the hunters of graduate fellowships and faculty research grants become, often, "professionals" in their quests (as we all know, there are books written on proposal writing among other specific topics in this broad area) for what psychologically involves "prize-winning." And the "hunters" are not restricted to denizens of academe; in recent years what we might characterize as "the Eric Hoffer syndrome" has taken on new prominence, with amateurs¹ (I utilize this term in its original sense deriving from the Latin verb amare, to love), representing every discipline and applied field of knowledge, vying for all types of awards with the "pro's." Indeed, in scanning lists of "awardees," irrespective of discipline, the "unaffiliated" tag becomes more and more noticeable with the passage of time (and the concomitant lack of university positions). In view of the situation described, it is not to wonder that individuals with or without university affiliations have recourse to a source, published in 1982, entitled The Independent Scholar's Handbook, which contains a chapter on locating financial assistance.²

With respect to its categorization as an interdisciplinary field as opposed to being an established discipline, Urban Studies paradoxically suffers and benefits in terms of awards. On the one hand (with regard to undergraduate scholarships), none exist because the field is a graduate one, and even at that level the financial poverty is compounded by two distinct factors: the relative recency of the field and its interdisciplinary quality (vis-a-vis the established disciplines and applied fields). On the other hand

the fact that Urban Studies partakes of the nature of so many of the established social sciences and a few of the natural sciences and technology (e.g., business administration, economics, history, law, political science, sociology, and architecture/urban planning, geography, public health, etc.), permits it to get its "foot in the door" as a semi-component of these, grant-wise. A final benefit is governmental and foundation interest in urban minorities resident in central cities, with the concomitant funding of grants and projects in a myriad of general and specialized areas of knowledge and practical application (e.g., running the entire gamut from funding of arts and humanities projects through problems of urban transportation to solutions for air pollution problems).

The purpose of this article concerns the identification and description of fellowships, awards (including prizes), and grants in Urban Studies per se and in those fields allied to it, irrespective of provenance. Provenance as utilized here implies two concepts: (1) the funding or donor entity; and (2) the geographic location of the grant/award/fellowship: some of these, as set forth herein, originate in foreign nations, although the majority are awarded by entities (whether government agencies, foundations, professional associations, universities, groups, research centers, organizations, etc.), in the United States.

In compiling the material, I have traversed the contents of five major reference works in the grants and award field, distilling from them what I believe to be the most appropriate and significant ones of interest to Urban Studies practitioners, teachers and students. Because the descriptions in each of the five reference sources differ in quantity, and detail, they are not standard, and discrepancies will be implicit in what I have presented. However, a letter directed to the given entity (in most instances, the name of

the individual in charge of the grant program is presented), will elicit full information. On the whole, however, most entries contain sufficient introductory information, as a minimum, for the elucidation of readers. These remarks having been made obiter dictu and in a preliminary fashion, let us begin our survey of financial assistance.

I. From Awards, Honors and Prizes:³

American Geographical Society, Broadway at 156th Street, New York, NY 10032: The Society irregularly awards a gold medal, the Van Cleef Memorial Medal first awarded in 1970, and given for outstanding achievements in Applied Urban Geography.

The American Planning Association, 1776 Massachusetts Ave., NW, Washington, DC 20009, awards the following:

Chapter Achievement Award: in recognition of outstanding chapter activities which contribute to enhancing the planning professor and its aims in the following categories: (1) a chapter program of activity of outstanding quality which creates a new standard for chapter activities; and (2) a chapter effort producing an outstanding advance to a planning program, planning policy development, or to the profession in the chapter's area. This is an annual award.

Distinguished Leadership Award: To a member for significant contribution over a long period (e.g., 15 years), to the development of the planning profession, through distinguished practice, teaching or writing. 1 award only.

Distinguished Service Award: to recognized members who made outstanding contributions for at least 15 years to the art and science of local, regional, state or national planning in any of the following activities: planning research or education; planning theory or philosophy; planning techniques and practices; implementing or administering plans or planning programs; and advancement of planning as a profession. Awarded annually.

Outstanding Planning Program Award: In recognition of a program, report or project of unusually high merit. Only 2 awards will be made, and up to 4 honorable mentions.

Student Project Award: no more than 2 awards, to students in a recognized collegiate planning curriculum for an outstanding paper or class project.

The following two Programs are also administered by the American Planning Association, but the information about each was received directly from the Association's headquarters in Washington, DC., rather than from library reference sources:

Charles Abrams Scholarship Program: Named for the internationally known expert on urban affairs and housing who died in 1970, the annual \$2,000 Charles Abrams Scholarship was established by his widow and colleagues. It is awarded to a student who can demonstrate genuine financial need, and who is enrolled in a graduate planning program at, and is nominated by one of the following universities:

Division of Urban Planning, Columbia University
Dept. of City & Regional Planning, Harvard University
Dept. of Urban Studies & Planning, Massachusetts Institute of Technology
Dept. of Urban Affairs & Policy Analysis, New School for Social Research,
New York
Dept. of City & Regional Planning, University of Pennsylvania

Planning Fellowship Program: Established in 1970 as the Ford Foundation Minority Fellowship Program, three fellowships of \$5,000 each were awarded in 1985. For two of the fellowships, the eligibility requirements are the following: (1) the student must be enrolled in a graduate planning program recognized by the American Planning Association's National Education Development Committee; (2) the student's school must nominate him; (3) the student must be a member of one of the following minority groups: Black; Mexican-American; North American Indian; Puerto Rican; (4) the student must be a U.S. citizen; (5) the student must document the need for financial assistance.

The third fellowship under this Program was awarded to a student meeting all of the above criteria, and also enrolled in an established university double-degree program. Additional requirements include: (6) the student must provide university documentation that the double degree is a formal program of his/her university; (7) one of the program areas must include planning.

College Art Association of America, 16 East 52nd St., New York, NY 10022:

Krautheimer Medal: Established in 1978 and awarded annually, the Medal is awarded to an architectural historian of any nationality, for work in the history of architecture judged to be the most valuable contribution to the field published during the two years preceding January first of the year of the award.

Outstanding Management Innovator Award: to recognize an Association member who has consistently initiated or been associated with innovative projects or programs in local government which contributed to improving professional management techniques over the years, a plaque is awarded annually.

Stephen B. Sweeney Academic Award: Established jointly with the Fels Alumni Association, this award is made to an academic leader or to a college or university that contributed significantly to the formal education of students pursuing careers in local government management.

The following awards are international in nature, from Volume II of Awards, Honors and Prizes:

Europa Nostra, 86 Vincent Square, London, SW1 P2P, England:

Europa Nostra Awards: Established in 1978, and financially sponsored by Franklin Mint, Ltd., the Awards are in recognition of projects making outstanding contributions to conserving and enhancing Europe's architectural and natural heritage. Projects must have been completed within the last 10 years and must be accompanied by photographs. Five framed medals and about 25 diplomas of merit are awarded annually.

French Academy of Architecture, 9 Place des Vosges, 75004 Paris, France:

Grand Gold Metal for Architecture: A gold medal is awarded annually for outstanding contribution to the field of architecture. The award was established in 1965.

International Unions of Architects, 1 rue d'Ulm, 75005 Paris, France:

Auguste Perret Award: A diploma is conferred every two years at the Congress of the Union, for a project which is especially remarkable in the field of architecture and technique applied to architecture.

Royal Town Planning, Institute, 26 Portland Place, London, W1N 4BE, England:

Established in 1953, a Gold Medal, awarded irregularly at the discretion of the Institute's Council, is presented for outstanding achievement in town and country planning.

George Pepler International Award: a prize of 450 pounds sterling or equivalent is awarded annually to enable persons under 30 years of age, from any country, to visit another country for a short time, to study an aspect of town and country planning or a related subject.

UNESCO, 7 Place de Fontenoy, 75700 Paris, France:

UNESCO Prize for Architecture: \$3,000 and a certificate, awarded every 3 years at the triennial congresses of the International Union of Architects, the Prize was established in 1969. Candidates comprise architecture students or faculty members in a UNESCO member nation, for a meritorious architectural project inspired specifically by the aims of the Union; students or faculty members who participate in the international competition organized every 3 years by the Union.

II. From Directory of Research Grants:⁴

Office of Technical Assistance, Office of Management and Demonstration Urban Mass Transportation Administration, U.S. Dept. of Transportation
400 Seventh St., SW, Washington, DC 20590:

Urban Mass Transportation Grants for University Research and Training: The grants encourage and support university research, education and training which address and respond to local, state and federal urban transportation concerns and which advance the understanding and resolution of critical transportation problems facing U.S. cities. Application dates are established annually and published in Commerce Business Daily late in summer. Application is due December 12. Receipt of application satisfies deadline date requirements.

Urban Mass Transportation Managerial Training Grants: The grants provide fellowships for training managerial, technical and professional personnel employed in the urban mass transportation field. Grants range from \$1,091 to \$12,000, but average around \$5,000. This is Program No. 20.503. Apply to Director, Office of Management and Demonstration of the Urban Mass Transportation Administration, 400 Seventh St., SW, Washington, DC 20590.

Urban Mass Transportation Technical Studies Grants: The grants aim to assist in planning, engineering and designing of urban mass transportation projects, and other technical studies in a program for a unified or officially coordinated urban transportation system. Grants range from \$10,000 to \$6,500,000, but average around \$200,000. This is Program No. 20.505 and applications, acceptable at any time, should be addressed to Director, Office of Planning Assistance (UPM-10), Urban Mass Transportation Administration, 400 Seventh St., SW, Washington, DC 20590.

Urban Poverty Program, Ford Foundation, 320 East 43rd St., New York, NY 10017:

Ford Foundation Urban Poverty Grants: Grants aim to support communities (primarily through self-help groups), that have a high percentage of households headed by women, disadvantaged racial minorities, and/or of migrants, refugees, and to assist local organizations which have demonstrated the ability to undertake multipurpose economic and social programs. Examples of these include programs aimed at reducing long-term dependence on welfare; support of income-generating projects for urban women, recent migrants and other acutely deprived groups; support of rehabilitation of housing in declining neighborhoods, and aid in reducing arson and street crime; giving the newborn and very young children a "fair start" in life, emphasizing mothers and children from low-income families. Applicants should contact the Ford Foundation before preparing formal proposals. Applications are accepted at any time.

III. From The International Foundation Directory:⁵

Brookings Institution, 1775 Massachusetts Ave., NW, Washington, DC 20036:

The Institution was established in 1927, and fosters and undertakes research, education and publication in the broad fields of the social sciences. It is especially active in many aspects of the field of economics, among which is urban economics. The Institution also conducts conferences and offers research fellowships tenable there, in economics. It publishes some two dozen books and staff papers each year.

Resources for the Future, 1755 Massachusetts Ave., NW, Washington, DC 20036:

This research organization, established in 1952 with major support from Ford Foundation, is active not only in research, in conservation, use of natural resources and in improving environmental quality, but in addition it is active in the various fields of economics. It conducts its own research programs, makes grants available to institutions, holds conferences and issues books and research reports, as well as Resources, a quarterly. Resources for the Future has also accomplished significantly in the area of urbanization.

Rockefeller Brothers Fund, 1290 Avenue of the Americas, Room 3450, New York, NY 10104:

Established in 1940, the Fund makes grants to local, national and international nonprofit and philanthropic organizations and agencies. One of its interests is the New York City Program, which fosters government efficiency, economic growth and stabilization, skill development and employment, and the management of cultural and human services agencies.

IV. From Scholarships, Fellowships and Loans, Volume VI:⁶

National Council of State Garden Clubs, Inc., in care of Mrs. Thomas A. Ladson, Sandy Spring, MD.

Eight scholarships, in the form of outright gifts of \$1,500 each, are awarded yearly. Candidates must be juniors or seniors in colleges and universities or postgraduate students (usually there is one candidate from each state), with scholastic records of not less than a "B" average. Selection of successful candidates is based on financial need, academic record, character, ability to succeed, variety of avocation, and leadership ability. Landscape Design, City Planning and Land Management are three special fields of interest.

Applications must include a transcript of the college record, including the last grading period; proof of financial need and a 4 x 4 black and white glossy photograph. Letters of recommendation are required from a member of a federated club, sponsoring garden club, or officer of state; head of appropriate college department; civic leader of the community in which the applicant resides; and college scholarship official. Applicant may attend any accredited college or university. All applications must be first sent to the State Federation President in time to be completed and mailed to the National Scholarship Chairman by December 15. Recipients are announced at the annual meeting.

Graham Foundation for Advanced Studies in the Fine Arts, 4 W. Burton Place, Chicago, IL 60610:

The Foundation's activities are limited to the fields of contemporary architecture, planning and the study of urban problems. The Foundation does not maintain a specific funding schedule or application form, but the following is suggested for submitting proposals: candidates should provide a statement treating the major reasons as to why the institution and/or persons involved wish to undertake the study, purposes to be

served, how much is sought, how participants intend to proceed, and why they believe they have the unique capacities to bring it to a successful conclusion.

The institution and/or individuals are also required to supply the names and recommendations of professionals in the field who would support and verify the proposal; all of this must be accompanied by a curriculum vitae of each person who is part of the project. Consideration is given to those who demonstrate mature creative talents in the field and with specific project objectives. The amount of funding is individually determined.

The Foundation's stated purpose is to develop projects and underwrite sustaining programs in the general field of architecture; to maintain an ongoing fellowship program; to undertake research projects with emphases on the graduate student and the younger creative architect in attempting to aid in formulating ideas of potential importance not only to individuals in the profession, but also in the development of those facilities and skills needed to find answers in terms of the expanding demand of the social environment. Further information may be obtained by writing to the Director of the Foundation.

V. From Scholarships, Fellowships and Loans, Volume VII:⁶

The Danforth Foundation, 222 South Central Ave., St. Louis, MO 63105:

St. Louis Leadership Program aims to encourage development of persons who are committed to improving the quality of life in the St. Louis community. It provides opportunities for persons from various public and private institutions and agencies, and its program offers public policy analysis, and leadership development as the 2 phases of its learning experience. The Coro Foundation conducts the activities of the Program. Candidates in leadership positions committed to resolving urban problems of the St. Louis metropolitan area are eligible. They must be at a stage in their development when additional educational and practical experiences would enhance their capacity to work for greater communication and understanding of community issues. Candidates must possess the skills and desire to assist people of various viewpoints to work together in the solution of problems. Awards to candidates are individually determined.

The Program lasts for nine months, September through May, and participants give the equivalent of two full days each month to planned activities, as well as time for independent study. Participants are involved in on-site visits and study of the projects in which community leaders serve. They explore major human service delivery systems within the area, and study the management and fiscal processes within business and government agencies. They attend meetings with area leaders and national consultants, and investigate means by which long-term metropolitan viability can be insured. Each segment of the program involves preparatory work, including study of background reports, position papers, and selected readings. Nomination forms are available for persons in the community to nominate individuals they believe fulfill eligibility requirements. Nominations must be received by March 15, and all application materials must be received by May 15.

Nominees are notified of their nomination and those expressing interest in the Program are sent application materials and requested to submit to the Foundation statements concerning their community leadership activities, recommendations from employers, and statements of support from civic and community leaders in metropolitan St. Louis. In assessing candidates, special consideration is given to personal qualifications essential to leadership; to motivation to engage in educational activities to improve leadership capacity; to a belief in the possibilities for change and improvement through an orderly process; and to a commitment to working for urban development and reconciliation in St. Louis.

The Urban Institute, 2100 "M" St., NW, Washington, DC 20037:

Minority Fellowship Program: Candidates must be Black and Hispanic doctoral students, who plan a career in public policy analysis. They must be enrolled in doctoral programs in economics, political science, urban planning, sociology or related social science disciplines. Their dissertations must be on a topic related to the interests and work of the Urban Institute. All course work and qualifying examinations must be completed by June of the year in which the application is filed. Funds for the fellowships are individually determined.

Fellowship recipients spend a summer working at the Urban Institute on a project of mutual interest to the Institute and the student. In the following academic year, the Institute provides a modest stipend for support of the dissertation research. Established in 1968, The Urban Institute is a private, nonprofit policy research organization which investigates social and economic problems of the nation's communities. Its research programs are community impact studies, economic development, employment policy, energy policy, health policy, housing and community development, income security and pension policy, minorities and social policy, public finance, public management, social services, state and local government management, transportation, and women and family policy. Applications must be filed by February first. Address the Minority Fellowship Program at the Institute.

Three additional general reference sources one might consult are:

Foundation Grants Index. 12th edition (New York: Foundation Center, 1983, 653p.). The Index is arranged in 3 sections: 1, Grants (arranged alphabetically by state and within each, by name of foundation, citing grant amount, grantee, and a description of project funded); 2, Recipients, alphabetically arranged; 3, Key Words and Phrases (really a subject index). The Index's aim is to identify foundations which made grants for specific topics and fields to specific recipients. Look under "Urban Affairs," "Urban Studies," and "Urban Development" (each of these is subdivided by topic), in section 3.

Annual Register of Grant Support,⁷ issued by the publishers of Who's Who in America formerly, covers grants in all fields, from foundations, government agencies, firms, religious groups, and associations, with very complete information provided on donor, aim of grant and nature of support, funding available, eligibility requirements and name and title of individual to whom proposals would be submitted. See the section entitled "Urban and Regional Affairs," which is subdivided into the following categories: "Children and Youth;" "Community Development and Services;" "Crime Prevention;" "Public Health;" and "Social Welfare." Also utilize the subject index, looking under "Urban Affairs," and "Urban and Regional Planning."

The Foundation Directory,⁸ arranged alphabetically by state, provides names and addresses, names of donors and of officers and trustees, purposes, activities, special fields of interest and limitations, as well as financial information, for more than four thousand of the largest foundations in the United States. These foundations represent more than ninety percent of the annual grant funds awarded annually. Covering all fields of activity (hence general in nature this source also provides application information, such as application guidelines, contact people, and preferred forms of initial approach. Beginning in 1984, The Foundation Directory publisher, the Foundation Center, made available from its computerized data base, all of the foregoing information, based upon successful grant applications by recipients. Printouts are made available, in both paper copy and microfiche, for broad topics, special topics, specific subjects, and in a geographic approach, under a new title, Comsearch. Comsearch's advantage over The Foundation Directory lies in its pinpointing of topics as well as geographic locations specifically earmarked for grants.

It will be noted that the sources cited thus far are published annually at the earliest. Because change is constant, it is important to be aware of periodicals,⁹ both general and specialized, which update these aforementioned reference materials. The following titles are general in nature, covering Urban Studies and other fields:

Federal Grants and Contracts Weekly; Selected Project Opportunities for the Education Community. Published weekly since 1977 by Capitol Publications, Inc., 1300 North 17th St., Arlington, VA 22209.

Approximately 10 pages per issue, this looseleaf service covers data concerning federal grants, including due dates for applications, names of agencies, and program numbers from the Catalog of Federal Domestic Assistance, as well as new program information, among additional similar data.

Ford Foundation Letter; issued bimonthly since 1970, free of charge. It contains news of grants and program activities. Available from Ford Foundation, 320 East 43rd St., New York, NY 10017. Also free is its Annual Report, a mine of data for grant-seekers.

Foundation News: the Magazine of Philanthropy; issued bimonthly since 1960, from Council on Foundations, Inc., 1828 "L" St., NW, Washington, DC 20036.

Grants Magazine: the Journal of Sponsored Research and Other Programs; published quarterly since 1978, by Plenum Press, 233 Spring St., New York, NY 10013. It is interdisciplinary, with articles on grants in all fields, and information on current programs, trends, the technical aspects of researching funding sources, whether foundations, government agencies, firms, private groups, etc.

Grantsmanship Center News; published bimonthly since 1973 by the Grantsmanship Center, 1013 South Grand Ave., Los Angeles, CA 90015. Written in a very readable style, this journal contains many "how to" articles and suggestions with regard to seeking and obtaining funding from both public and private sources.

Newsletters⁹ within the general Urban Studies field which bear attention for grants include:

APA News, published monthly since 1966 and free to members, from the American Planning Association, 1776 Massachusetts Ave., NW, Washington, DC 20036.

Grantseeker for State and Local Governments, published since 1981 every 2 weeks by Revenue Sharing Advisory Service, 2120 "L" St., NW, Suite 210, Washington, DC 20037.

HUD Newsletter, issued weekly since 1970 by the U.S. Dept. of Housing and Urban Development, 451 Seventh St., NW, Washington, DC 20410.

ICMA Newsletter, published semi-monthly since 1919 by the International City Management Association, 1120 "G" St., NW, Washington, DC 20005.

It is common knowledge that hundreds and thousands of awards of all types, in a variety of disciplines, applied fields and interdisciplinary areas go unclaimed each year, largely through ignorance of their existence. The aggregate value of such unclaimed awards is obviously, inestimable; equally unfathomable, however, is the value in nonmonetary terms, lost to those who place a professional and prestige value on such awards. Let up hope, therefore, that by means of the sources identified herein, opportunities for grants and awards in Urban Studies and allied fields will become known, and that potential candidates will be encouraged to apply.

FOOTNOTES

1. One definition of amateur from the Random House Dictionary of the English Language (New York: Random House, 1968, p. 41) is "a lover or devotee of an art, activity, etc."
2. See Chapter 6, entitled "Wherewithal: Support for Your Work," on pages 100 to 123 of Ronald Gross's The Independent Scholar's Handbook (Reading, MA: Addison-Wesley Publishing Co., 1982).
3. Awards originating from entities located in the United States and Canada comprise the contents of volume 1, while entities located elsewhere in the world may be located and identified in volume 2 of Awards, Honors and Prizes, sixth edition. Excerpted from AWARDS, HONORS & PRIZES Vols. 1 & 2 edited by Gita Siegman (copyright 1985 by Gita Siegman; reprinted by permission of Gale Research Company), 6th edition, Gale Research, 1985.
4. Directory of Research Grants 1986. Phoenix, AZ: Oryx Press, 1986, 748p. The Information as set forth herein is presented by permission of the publisher, Oryx Press.
5. Excerpted from INTERNATIONAL FOUNDATION DIRECTORY edited by H. V. Hodson (copyright Europa Publications Limited 1983; reprinted by permission of Gale Research Company), 3rd edition, Gale Research Company, Detroit, MI, 1984.
6. Scholarships, Fellowships and Loans.* Volume VI, by S. Norman Feingold and Marie Feingold. 1977, 514p. Scholarships, Fellowships and Loans. Volume VII, by S. Norman Feingold and Marie Feingold. 1982, 796p. The information as set forth herein, is presented by permission of the publisher of both volumes, Bellman Publishing Company, Arlington, MA 02174.
7. Annual Register of Grant Support: A Directory of Funding Sources, 1985-1986. Published September, 1985. Wilmette, IL: National Register Publishing Company, Inc., 1985, 923p.
8. The Foundation Directory. 11th edition. Compiled by The Foundation Center. New York: The Foundation Center. Distributed by Gale Research Company, 1987.
9. It should be emphasized that subscription rates for all periodicals are subject to change without notice, and over time periods.
- * At the time these books were published, this information was correct. It is likely there have been changes, and you should write early for current rules and regulations.